

Image

293/037 Cont. 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Alex A. Peterson et al.  
Application No. : 10/637,213  
Filed : August 7, 2003  
For : MEDICAL GRAFT CONNECTOR AND METHODS OF  
MAKING AND INSTALLING SAME

New York, New York 10020  
November 10, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified patent application. This  
Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing  
date but before the mailing date of the first Office  
Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason a  
fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted  
herewith.

Respectfully submitted,

Denise Bergin

Denise Bergin (Reg. No. 50,581)

Attorney for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020

Tel.: (212) 596-9000

Fax: (212) 596-9090

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an envelope  
Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

November 10, 2003

Lillian Garcia

Lillian Garcia  
Signature of Person Signing



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alex A. Peterson et al.  
Application No.: 10/637,213  
Filed : August 7, 2003  
For : MEDICAL GRAFT CONNECTOR AND METHODS OF MAKING  
AND INSTALLING SAME  
Examiner : Not yet assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
New York, NY 10020

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following documents:

U.S. PATENTS

4,214,587	7/29/80	Sakura, Jr.
4,503,569	3/12/85	Dotter
4,592,754	6/3/86	Gupte et al.
4,617,932	10/21/86	Kornberg
4,665,906	5/19/87	Jervis
4,787,899	11/29/88	Lazarus
5,104,399	4/14/92	Lazarus

U.S. PATENTS

5,122,156	6/16/92	Granger et al.
5,135,467	8/4/92	Citron
5,207,695	5/4/93	Trout, III
5,211,658	5/18/93	Clouse
5,211,683	5/18/93	Maginot
5,275,622	1/4/94	Lazarus et al.
5,304,220	4/19/94	Maginot
5,316,023	5/31/94	Palmaz et al.
5,354,336	10/11/94	Kelman et al.
5,366,462	11/22/94	Kaster et al.
5,387,235	2/7/95	Chuter
5,397,345	3/14/95	Lazarus
5,397,355	3/14/95	Marin et al.
5,443,497	8/22/95	Venbrux
5,452,733	9/26/95	Sterman et al.
5,456,712	10/10/95	Maginot
5,489,295	2/6/96	Piplani et al.
5,507,769	4/16/96	Marin et al.
5,522,880	6/4/96	Barone et al.
5,545,214	8/13/96	Stevens
5,562,728	10/08/96	Lazarus et al.
5,617,878	4/08/97	Taheri
5,676,670	10/14/97	Kim
5,695,504	12/9/97	Gifford, III et al.
5,843,164	12/1/98	Frantzen et al.
5,843,170	12/1/98	Ahn
5,843,175	12/1/98	Frantzen
5,921,995	6/13/99	Kleshinski
5,976,178	11/02/99	Goldsteen et al.
6,013,190	1/11/00	Berg et al.
6,026,814	2/22/00	LaFontaine et al.
6,035,856	3/14/00	LaFontaine et al.

6,036,702	3/14/00	Bachinski et al.
6,193,734	2/27/01	Bolduc et al.
6,206,912	3/27/01	Goldsteen et al.
6,302,905	10/16/01	Goldsteen et al.
6,391,036	5/21/02	Berg et al.
6,475,222	11/5/02	Berg et al.

FOREIGN PATENT DOCUMENTS

670,239	01/06/94	Australia
489,316 A	07/25/38	Britain
2,269,104 A	02/02/94	Britain
539,237 A1	04/28/93	EPO
0 637 454 A1	02/08/95	EPO
0 680 734 A2	11/08/95	EPO
0 684 022 A2	11/29/95	EPO
0 701 800 A1	03/20/96	EPO
0 712 614 A1	05/22/96	EPO
0 732 088 A2	09/18/96	EPO
0 732 089 A2	09/18/96	EPO
WO 89/08433	09/21/89	PCT
WO 93/00868	01/21/93	PCT
WO 93/20757	10/28/93	PCT
WO 94/01056	01/20/94	PCT
WO 95/21592	08/17/95	PCT
WO 96/14808	05/23/96	PCT
WO 96/18361	06/20/96	PCT
WO 96/22745	08/01/96	PCT
WO 96/25897	08/29/96	PCT
WO 97/13463	04/17/97	PCT
WO 97/13471	04/17/97	PCT
WO 98/19629	05/14/98	PCT
WO 98/02099	01/22/98	PCT
WO 98/38939	09/11/98	PCT
WO 98/38941	09/11/98	PCT
WO 98/38942	09/11/98	PCT

The aforementioned patents and documents which are listed on the accompanying Form PTO-1449 (submitted in duplicate) were cited by the Examiner or applicant in parent application No. 09/695,639. Accordingly, pursuant to 37 C.F.R. § 1.98(d), no copies are enclosed.

Applicants also wish to bring the Examiner's attention to the following commonly assigned patent applications listed by application number, patent or publication number, if applicable, and filing date. Continuing applications are listed under the parent application with the application type in parentheses:

09/187,364	patent No. 6,475,222	11/6/98
10/205,288 (div.)	publication No. 20020188302 A1	
7/23/02		
10/260,371 (con.)	publication No. 20030028200 A1	9/26/02
09/540,665	patent No. 6,391,036	3/31/00
09/569,607 (con.)		5/10/00
10/091,143 (con.)	publication No. 20020082627 A1	3/5/02
10/345,470	publication No. 20030109887 A1	1/15/03
09/323,181	patent No. 6,302,905	5/28/99
09/955,244 (con.)	publication No. 20020022857 A1	9/17/01
10/090,121 (con.)	publication No. 20020087181 A1	2/28/02
10/680,036 (con.)		10/6/03
09/368,191	patent No. 6,206,912	8/4/99
09/920,541	patent No. 6,511,491	8/1/01
10/309,387 (div.)	publication No. 20030083679 A1	12/2/02

Copies of the aforementioned patents, publications, and unpublished applications as filed are submitted herewith. Copies of currently pending claims which differ from those in the

publications or applications as filed are also submitted herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

This Information Disclosure Statement is being filed after three months of the filing date, but before the mailing of a first Office action on the merits. Pursuant to 37 C.F.R. § 1.97(b), no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075.

An early and favorable action is respectfully requested.

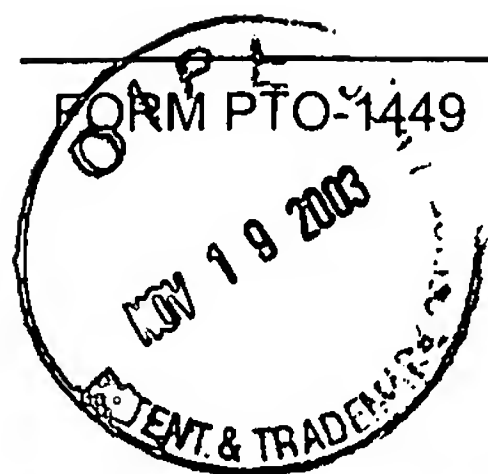
Respectfully submitted,

Denise Bergin  
Denise Bergin  
Reg. No. 50,581  
Attorney for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an envelope  
Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

November 10, 2003  
Lillian Garcia

Lillian Garcia  
Signature of Person Signing



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

ATTY. DOCKET NO.  
293/037 Cont. 3

SERIAL NO.  
10/637,213

APPLICANTS  
Alex A. Peterson et al.

FILING DATE  
August 7, 2003

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,214,587	7/29/80	Sakura, Jr.	128	334 R	
	4,503,569	3/12/85	Dotter	3	1.4	
	4,592,754	6/3/86	Gupte et al.	623	1	
	4,617,932	10/21/86	Kornberg	128	334 R	
	4,665,906	5/19/87	Jervis	128	92 YN	
	4,787,899	11/29/88	Lazarus	623	1	
	5,104,399	4/14/92	Lazarus	623	1	
	5,122,156	6/16/92	Granger et al.	606	219	
	5,135,467	8/4/92	Citron	600	16	
	5,207,695	5/4/93	Trout, III	606	153	
	5,211,658	5/18/93	Clouse	623	1	
	5,211,683	5/18/93	Maginot	128	898	
	5,275,622	1/4/94	Lazarus et al.	623	1	
	5,304,220	4/19/94	Maginot	623	1	
	5,316,023	5/31/94	Palmaz et al.	128	898	
	5,354,336	10/11/94	Kelman et al.	623	6	
	5,366,462	11/22/94	Kaster et al.	606	153	
	5,387,235	2/7/95	Chuter	623	1	
	5,397,345	3/14/95	Lazarus	623	1	
	5,397,355	3/14/95	Marin et al.	623	12	
	5,443,497	8/22/95	Venbrux	623	1	
	5,452,733	9/26/95	Sterman et al.	128	898	
	5,456,712	10/10/95	Maginot	623	1	
	5,489,295	2/6/96	Piplani et al.	623	1	
	5,507,769	4/16/96	Marin et al.	606	198	
	5,522,880	6/4/96	Barone et al.	623	1	
	5,545,214	8/13/96	Stevens	623	2	
	5,562,728	10/08/96	Lazarus et al.	623	1	
	5,617,878	4/08/97	Taheri	128	898	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance with MPEP 609 and not considered. Include copy of this form with next communication to applicants.





O-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. 293/037 Cont. 3	SERIAL NO. 10/637,213
	APPLICANTS Alex A. Peterson et al.	
	FILING DATE August 7, 2003	

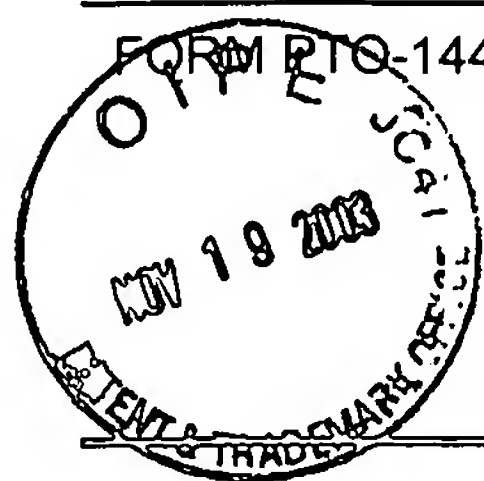
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,214,587	7/29/80	Sakura, Jr.	128	334 R	
	4,503,569	3/12/85	Dotter	3	1.4	
	4,592,754	6/3/86	Gupte et al.	623	1	
	4,617,932	10/21/86	Kornberg	128	334 R	
	4,665,906	5/19/87	Jervis	128	92 YN	
	4,787,899	11/29/88	Lazarus	623	1	
	5,104,399	4/14/92	Lazarus	623	1	
	5,122,156	6/16/92	Granger et al.	606	219	
	5,135,467	8/4/92	Citron	600	16	
	5,207,695	5/4/93	Trout, III	606	153	
	5,211,658	5/18/93	Clouse	623	1	
	5,211,683	5/18/93	Maginot	128	898	
	5,275,622	1/4/94	Lazarus et al.	623	1	
	5,304,220	4/19/94	Maginot	623	1	
	5,316,023	5/31/94	Palmaz et al.	128	898	
	5,354,336	10/11/94	Kelman et al.	623	6	
	5,366,462	11/22/94	Kaster et al.	606	153	
	5,387,235	2/7/95	Chuter	623	1	
	5,397,345	3/14/95	Lazarus	623	1	
	5,397,355	3/14/95	Marin et al.	623	12	
	5,443,497	8/22/95	Venbrux	623	1	
	5,452,733	9/26/95	Sterman et al.	128	898	
	5,456,712	10/10/95	Maginot	623	1	
	5,489,295	2/6/96	Piplani et al.	623	1	
	5,507,769	4/16/96	Marin et al.	606	198	
	5,522,880	6/4/96	Barone et al.	623	1	
	5,545,214	8/13/96	Stevens	623	2	
	5,562,728	10/08/96	Lazarus et al.	623	1	
	5,617,878	4/08/97	Taheri	128	898	

## EXAMINER

## DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance with MPEP 609 and not considered. Include copy of this form with next communication to applicants.



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

ATTY. DOCKET NO.  
293/037 Cont. 3

SERIAL NO.  
10/637,213

APPLICANTS  
Alex A. Peterson et al.

FILING DATE  
August 7, 2003

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,676,670	10/14/97	Kim	606	108	
	5,695,504	12/9/97	Gifford, III et al.	606	153	
	5,843,164	12/1/98	Frantzen et al.	623	1	
	5,843,170	12/1/98	Ahn	623	1	
	5,843,175	12/1/98	Frantzen	623	1	
	5,921,995	6/13/99	Kleshinski	606	153	
	5,976,178	11/02/99	Goldsteen et al.	623	1	
	6,013,190	1/11/00	Berg et al.	216	34	
	6,026,814	2/22/00	LaFontaine et al.	128	898	
	6,035,856	3/14/00	LaFontaine et al.	128	898	
	6,036,702	3/14/00	Bachinski et al.	606	153	
	6,193,734	02/27/01	Bolduc et al.	606	153	
	6,206,912	03/27/01	Goldsteen et al.	623	1.23	
	6,302,905	10/16/01	Goldsteen et al.	623	1.1	
	6,391,036	05/21/02	Berg et al.	606	151	
	6,475,222	11/05/02	Berg et al.	606	108	
	6,511,491	01/28/03	Grudem et al.	606	153	


#### PATENT PUBLICATION DOCUMENTS

	2002/0022857 A1	02/21/02	Goldsteen et al.	606	185	
	2002/0082627 A1	06/27/02	Berg et al.	606	155	
	2002/0087181 A1	07/04/02	Goldsteen et al.	606	185	
	2003/0028200 A1	02/06/03	Berg et al.	606	108	
	2003/0083679 A1	05/01/03	Grudem et al.	606	155	
	2003/0109887 A1	06/12/03	Galdonik et al.	606	108	
	2003/0188302 A1	12/12/02	Berg et al.	606	108	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance with MPEP 609 and not considered. Include copy of this form with next communication to applicants.

FORM PTO-1449 	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. 293/037 Cont. 3	SERIAL NO. 10/637,213
		APPLICANTS Alex A. Peterson et al.	
		FILING DATE August 7, 2003	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	670,239	01/06/94	Australia	A61F	2/06		
	489,316 A	07/25/38	Britain				
	2,269,104 A	02/02/94	Britain	A61F	2/06		
	539,237 A1	04/28/93	EPO	A61F	2/06		
	0 637 454 A1	02/08/95	EPO	A61M	25/10		
	0 680 734 A2	11/08/95	EPO	A61F	2/06		
	0 684 022 A2	11/29/95	EPO	A61F	2/06		
	0 701 800 A1	03/20/96	EPO	A61F	2/06		
	0 712 614 A1	05/22/96	EPO	A61F	2/06		
	0 732 088 A2	09/18/96	EPO	A61F	2/06		
	0 732 089 A2	09/18/96	EPO	A61F	2/06		
	WO 89/08433	09/21/89	PCT	A61F	2/04		
	WO 93/00868	01/21/93	PCT	A61F	2/06		
	WO 93/20757	10/28/93	PCT	A61B	17/11		
	WO 94/01056	01/20/94	PCT	A61F	2/04		
	WO 95/21592	08/17/95	PCT	A61F	2/06		
	WO 96/14808	05/23/96	PCT	A61F	2/02		
	WO 96/18361	06/20/96	PCT	A61F	2/06		
	WO 96/22745	08/01/96	PCT	A61F	2/06		
	WO 96/25897	08/29/96	PCT	A61F	2/06		
	WO 97/13463	04/17/97	PCT	A61B	17/00		
	WO 97/13471	04/17/97	PCT	A61B	19/00		
	WO 98/19629	05/14/98	PCT	A61F	2/06		
	WO 98/02099	01/22/98	PCT	A61B	17/00		
	WO 98/38939	09/11/98	PCT	A61B	19/00		
	WO 98/38941	09/11/98	PCT	A61B	19/00		
	WO 98/38942	09/11/98	PCT	A61B	19/00		

## EXAMINER

## DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance with MPEP 609 and not considered. Include copy of this form with next communication to applicants.